

CFTC FORM CPO-PQR REPORT FOR COMMODITY POOL OPERATORS

Form CPO-PQR Template

5. **POOL BROKERS**

Provide the following information concerning the Pool's Broker(s):

a. Does the CPO use Brokers for the Pool?

If "Yes," provide the following information for each Broker:

- i. Name of the Broker:
- ii. NFA ID# of Broker:
- iii. Address of Broker
- iv. Telephone number of the Broker:
- v. Starting date of the relationship with the Broker:
- vi. Services performed by the Broker:

Clearing services for the <u>Pool</u> :	Custodian services for some or all <u>Pool</u> assets:
Prime brokerage services for the <u>Pool</u> :	Other:

6. **POOL TRADING MANAGERS**

Provide the following information concerning the Pool's Trading Manager(s):

a. Has the CPO authorized Trading Managers to invest or allocate some or all of the Pool's Assets Under Management?

If "Yes," provide the following information for each Trading Manager:

- i. Name of the Trading Manager:
- ii. NFA ID# of the Trading Manager:
- iii. Address of the Trading Manager:
- iv. Telephone number of the Trading Manager:
- v. Starting date of the relationship with the Trading Manager:
- vi. What percentage of the Pool's Assets Under Management does the Trading Manager have authority to invest or allocate?